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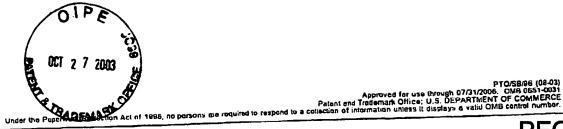
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| Application Number | 09/328,320 | | |
| Filling Date | January 11, 2001 | | |
| First Named | Maartus de Waard | | |
| Art Unit | 1645 | | |
| Examiner Name | Padmavathi BASKAR | • | |
| Attorney Docket Number | | | |
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| ☑ Assi | gnee of | record of | he entire int | terest. See 37 CF | R 3.71 PTO/SE | 3/96) | | |
| Stat | ement ı | inder 37Cl | -R 3.73(D) IS | enclosed (Form | | | | |
| <u></u> | | SIGN | ATURE of Ap | oplicant or Assign | e of Re | cord | | |
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| NOTE: Signa | atures of | all the invent | ors or assigne elsignature is | ses of record of the required, see below' | nure inter | rest or their repr | G9611198A1 | ofot eva radament |
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This collection of information is required by 37 GFR 1.38. The Information is required to obtain or retain a bonefit by the public which is to file (and by the USPTO to process) an application. Confidentiatity is governed by 35 U.S.C. 122 and 37 GFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending on the individual case, complete, including gathering, preparing, and submitting the complete this form end/or suggestions for reducing this burden should be sent to the Chief Any comments on the amount of time you require to complete this form end/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Oppartment of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



STATEMENT UNDER 37 CFR 3.73(b)

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| Applicant/Patent Owner: Maarten de Waard | OCT 3 1 2003 |
|--|---|
| Filed/Issue Date: January 11, 2001 | |
| Application No./Patent No.: 09/758,828 | ECH CENT#R 1600/2900 |
| Entitled: MULTIPLE DRUG RESISTANCE GENE atr D OF ASPERGILLUS NIDULANS | |
| Stichting voor de Technische Wetenschappen , a The Netherlands entity | · |
| (Name of Assigned e.g., composition, pathership, unweally, government eigency, clc.) | |
| states that it is: | |
| 1. the assignee of the entire right, title, and interest; or | |
| 2. an assignee of less than the entire right, title and interest. | |
| in the patent application/patent identified above by virtue of either: | |
| A. [] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in | the United |
| A. [] An assignment from the inventor(s) of the patent application patent administration and Trademark Office at Red, or for which a copy thereof is | |
| RO | |
| B. [/] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as show | n |
| To: Stiebting voor de Technische Wetenschappe | |
| the United States Patent and Indemark Office at | |
| Real, Frame, or for which a copy thereof is | |
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| [] Additional documents in the chain of title are listed on a supplemental sheet. | |
| [/] Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submit Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of tha See MPEP 302.08] The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee. | tied to USPTO. |
| | |
| Telephone number Telephone number Telephone number Total 2 2 2 3 3 Total Name Name Name Name Name Name Name Name | ssociate |
| Telephone number Signature | refessor |
| 102193 (Melle Waard | |
| +31 317 483123 Title Dr | de los la |

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or relain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time with vary depending upon the individual case. Any comments on the amount of time your require to complete this form and/or supplications for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office. U.S. require to complete this form and/or supplications for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office. U.S. Department of Committee, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETE D FORMS TO THIS ADDRESS. SEND TO:

<u>ASSIGNMENT</u>

THIS ASSIGNMENT ("Assignment") shall become effective on the last date of signature hereto ("Effective Date").

WHEREAS ELI LILLY AND COMPANY, its successors and assigns (collectively "Lilly"), an Indiana corporation having its principal place of business at Lilly Corporate Center, in the City of Indianapolis, County of Marion, State of Indiana, is an assignee of inventions which are the subject of patents and applications for Letters Patents of the United States and elsewhere ("Patents and Applications") identified in the APPENDIX attached hereto and incorporated herein by reference; and

WHEREAS LILLY wishes to assign by gift its entire rights, interests, and obligations in all inventions disclosed in such Patents and Applications to Stichting voor de Technische Wetenschappen, Van Vollenhovenlaan 661, 3527 JP Utrecht, The Netherlands, with no rights, interests, or obligations outstanding except as described herein; and

WHEREAS Stichting voor de Technische Wetenschappen wishes to acquire said entire rights, interests, and obligations in all inventions disclosed in such Patents and Applications;

NOW, THEREFORE, Lilly hereby assigns, transfers, and sets over unto Stichting voor de Technische Wetenschappen, its successors and assigns, Lilly's entire right, title, and interest in, to, and under the Patents and Applications, without any further obligations with respect thereto, all of the above to be held and enjoyed by Stichting voor de Technische Wetenschappen for its own use and enjoyment to the full end of the term or terms for which such Letters Patent have been or may be granted, as fully and entirely as the same would have been held and enjoyed by Lilly had this Assignment not been made.

Lilly covenants and agrees with Stichting voor de Technische Wetenschappen that upon request, a Lilly representative will, without consideration, execute rightful declarations, oaths, or assignments for such Patents and Applications as may become necessary.

This Assignment may be executed in one or more counterparts by the parties by signature of a person having authority to bind the party, which may be by facsimile signature, each of which when executed and delivered, by facsimile transmission or by mail delivery, will be an original and all of which will constitute by one and the same Assignment.

[remainder of page left intentionally blank]

IN WITNESS WHEREOF I have executed this Assignment on the date indicated below, said Assignment being effective upon the date of acceptance of the gift by Stichting voor de Technische Wetenschappen as evidenced by the signature of an authorized representative thereof.

| AGREED: | CFC. |
|--|--|
| ELI LILLY AND COMPANY | Paviewed en To Legal Por |
| Mary | |
| Robert A. Armitage Senior Vice President and General Counsel | |
| Date: 7-15-03 | |
| AGREED: | |
| STICHTING TOOR DE TECHNISCHE | WETENSCHAPPEN |
| Ву: | _ |
| Name: drs. F. Joyvan de Lind | <u>c</u> |
| Title: CEO | _ |
| Date: 18-03 - 2003 | - |
| UNITED STA | TES OF AMERICA |
| STATE OF INDIANA) | |
|) ss: MARION COUNTY) | July 15 2003 |
| Before me, a Notary Public for <u>M</u> appeared <u>Robert A. Asmilage</u> | Area County, State of Indiana, personally and acknowledged the execution of the |
| appeared Robert O. Asmilage foregoing instrument this 15 th day of Je | <u>ely</u> , 2003. |
| My commission expires: <u>3-48-08</u> | Kordlun M. Cdwords Notary Public |
| | Kathleen M. Edwards, Motany Public |

Kathleen M. Edwards, Notary Public My Commission Expires Merch 23, 2008 Resident of Marion County

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APPENDIX

PATENTS AND APPLICATIONS

| Docket No. | Ctry | Status | StDate | App No | App Date | Pat No. | Grant Dt |
|------------|------|---|----------|----------------|----------|---------|----------|
| | | • | | ••••• | ••••• | ••••• | |
| X11766 | CA | Piled | 16JE2000 | 2315531 | 22DE1998 | | |
| X11766 | EP | Published | 11002000 | 98964861.3 | 22DE1998 | | |
| X11766 | JP | Published | 25DE2001 | 2000-525527 | 22DE1998 | | |
| X11766B | US | Prosecutio | 25AP2003 | 09/758828 | 11JA2001 | | |
| X11766 | US | Granted | 27JL1999 | 08/996545 | 23DE1997 | 5928898 | 27July99 |
| X11766A | ซร | Granted | 08MY2001 | 09/328320 | 08JE1999 | 6228615 | 08May01 |
| X11766 | WO | National | 02722000 | PCT/US98/27300 | 22DE1998 | | |